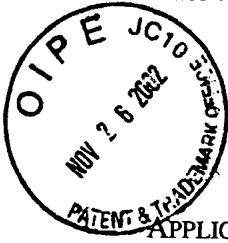


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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Anderson, et al.  
APPLICATION NUMBER: 09/872,623  
FILING DATE: June 2, 2001  
FOR: Examiner: Anthony C. Caputa  
ALPHA-FETOPROTEIN PEPTIDES AND USES THEREOF  
Art Unit: 1642

### BOX IDS

Commissioner for Patents  
Washington, D.C. 20231

## TRANSMITTAL LETTER

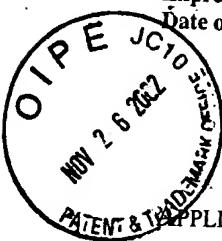
Transmitted herewith for filing in the present application are the following documents:

1. Information Disclosure Statement (2 pgs.);
2. PTO Form 1449 (1 pg., in duplicate);
3. Copy of references A1-A3, and C1-C14;
4. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts. The Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Reference No. 19705-010. A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529  
Nicholas P. Triano, III, Reg. No. 36,397  
Attorneys for Applicants  
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Date of Deposit: November 26, 2002

Attorney Docket No.: 19705-010 (MTAP-10)

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# 11  
JM  
12/8/02

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, in the above-identified case.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

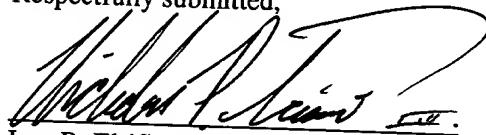
By submitting this Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS: Anders n, et al.  
APPL'N No.: 09/872,623

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 19705-010 (MTAP-10).

Respectfully submitted,



Ivor R. Elrifi, Reg. No. 39,529  
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Dated: November 26, 2002

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